In re Application of:

Lhote et al.

Art Unit:

Not yet assigned

Serial No.

10/564,366

Examiner:

Not yet assigned

I.A. Filing Date:

12/06/2004

Atty Dkt:

6222.480

For:

PRESSURE RELIEF EXHAUST BRAKE

PETITION FOR NONSIGNING INVENTOR PURSUANT TO 37 C.F.R. §§ 1.497(b) and 1.47

United States Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Dear Sir:

This Petition is made by inventor Gabriel Gavril on his behalf, and on behalf of non-signing co-inventor Andre F. Lhote. Mr. Lhote has failed to execute the declaration despite Applicants' good faith efforts to obtain same. Applicants submit the Declaration of William C. Schrot in support of this Petition.

Submitted herewith is Applicants' Response to the Notification of Missing Requirements, including a supplemental Declaration signed by inventor Gabriel Gavril on behalf of Andre Lhote. All other co-inventors have already signed the initially submitted Declaration.

In light of the circumstances, it is respectfully requested that Mr. Gavril be permitted to make the application on behalf of Mr. Lhote pursuant to 37 C.F.R. §§ 1.497(b) and 1.47(a). The requisite fee is attached hereto. It is believed that no other

fees are due with this submission. Should that determination be incorrect, then please debit Account No. 50-0548 and notify the undersigned.

Respectfully submitted,

William C. Schrot Registration No. 48,447 Attorney for Applicants

Berenato, White & Stavish, LLC

6550 Rock Spring Drive, Suite 240 Bethesda, Maryland 20817

Telephone: (301) 896-0600 Facsimile: (301) 896-0607

Email: wschrot@bwsiplaw.com

In re Application of:

Lhote et al. : Art Unit: Not yet assigned

Serial No. 10/564,366 : Examiner: Not yet assigned

I.A. Filing Date: 12/06/2004 : Atty Dkt: 6222.480

For: PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

I, William Schrot, hereby declare as follows:

- 1. I am an attorney associated with the law firm of Berenato, White & Stavish, LLC, and am familiar with the above-captioned application.
- 2. On December 6, 2004, Applicant Jenara Enterprises Ltd. ("Jenara") of Surrey, British Columbia, Canada, filed International Application No. PCT/CA2004/002080, entitled Apparatus and Method for Pressure Relief in an Exhaust Brake. Exhibit A.
- 3. All inventors of International Application No. PCT/CA2004/002080 executed an assignment to Jenara Enterprises Lt. Exhibit B.
- 4. Mr. Lhote executed the assignment to Jenara in January 2005. Exhibit B.
- 5. The assignment to Jenara provides that assignors assigned all right, title and interest in and to the subject invention, and in and to any Letters Patent which may hereafter be granted on the same in all countries throughout the world. Exhibit B.
- 6. The assignment to Jenara also specifically states, "Assignors further agree that they will ... cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any an all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns." Exhibit B.

- 7. International Application No. PCT/CA04/02080 entered the national phase before the U.S. Patent & Trademark Office on January 12, 2006 as application Serial No. 10/564,366. A declaration executed by four of the five inventors, Vincent A. Meneely, Gabriel Gavril, and Tamara Spence, and John P. Hartley, was submitted therewith. Exhibit C.
- 8. The fifth inventor, Andre F. Lhote, failed to execute the declaration. Exhibit C.
- 9. Accordingly, a Notification of Missing Requirements was mailed May 11, 2006, indicating that the current declaration failed to comply with 37 C.F.R. § 1.497(a) and (b) because the signature of the first inventor, Mr. Lhote, was missing.
- 10. It is our understanding that Jenara attempted to obtain an executed declaration for the '366 application from Mr. Lhote, and that Mr. Lhote has refused to cooperate in signing a declaration despite his obligations under the assignment to Jenara.
- 11. Nevertheless, our firm made additional efforts to join Mr. Lhote in the '366 application:
 - By letter dated May 30, 2006, I sent a copy of the application and a declaration to Mr. Lhote to his address at 2992 Byrne Road, Burnaby, British Columbia, V5J 3H9, Canada, via Federal Express. Exhibit D.
 - By letter dated June 21, 2006, I sent another copy of the application and a declaration to Mr. Lhote to his address at 2992 Byrne Road, Burnaby, British Columbia, V5J 3H9, Canada, via Federal Express. Exhibit E.
 - Our firm was contacted by Federal Express indicating that the address for the letter dated June 21, 2006 was incorrect. I provided a corrected address of 4992 Byrne Road, Burnaby, British Columbia, V5J 3H9, Canada, to Federal Express. Exhibit F.
 - The June 21, 2006 correspondence, including a copy of the application and declaration, was re-delivered to Mr. Lhote by Federal Express with the corrected address at 4992 Byrne Road, Burnaby, British Columbia, V5J 3H9, Canada. Exhibit F.
 - By letter dated July 5, 2006, I sent a third copy of the application and a declaration to Mr. Lhote to the corrected address at 4992 Byrne Road, Burnaby, British Columbia, V5J 3H9, Canada, via Federal Express. Exhibit G.
- 12. To date, Mr. Lhote has failed to provide an executed declaration for the subjection application.

- 13. We have been advised by Ryan Marander, Project Manager for Pacbrake Company, a wholly owned subsidiary of Jenara, that Mr. Lhote hand returned the June 21, 2006 Federal Express package to Cathy Hailey, Pacbrake's Purchasing Manager, stating "I can't sign this". See Exhibit H.
- 14. In light of Mr. Lhote's failure to sign the declaration, we request that Mr. Gavril be permitted to proceed with application Serial No. 10/564,366 on behalf of non-signing co-inventor Andre F. Lhote pursuant to 37 C.F.R. §§ 1.497(b) and 1.47(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

William C. Schrot

Dated: July 10, 2006

In re Application of:

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Examiner:

Not yet assigned

I.A. Filing Date: 12/06/2004

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For:

PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

EXHIBIT A

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 30 June 2005 (30.06.2005)

PCT

(10) International Publication Number WO 2005/059333 A1

(51) International Patent Classification7:

F02D 9/06

(21) International Application Number:

PCT/CA2004/002080

(22) International Filing Date: 6 December 2004 (06.12.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

2,453,593

16 December 2003 (16.12.2003) CA

(71) Applicant (for all designated States except US): JENARA ENTERPRISES LTD. [CA/CA]; 19594 - 96th Avenue, Surrey, British Columbia V4N 4C3 (CA).

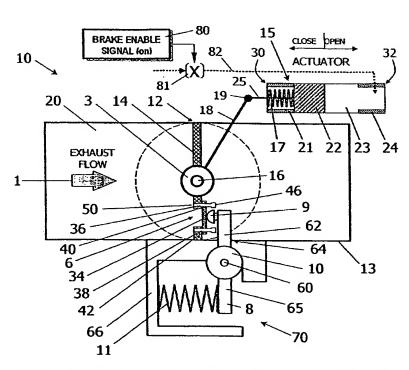
(72) Inventors; and

(75) Inventors/Applicants (for US only): LHOTE, Andre, F. [CA/CA]; 4992 Byrne Road, Burnaby, British Columbia V5J 3H9 (CA). MENEELY, Vincent, A. [CA/CA]; 19596 96th Avenue, Surrey, British Columbia V4N 4C3 (CA). GAVRIL, Gabriel [CA/CA]; 2009 Birch Grove, Burnaby, British Columbia V5A 4A3 (CA). SPENCE, Tamara [CA/CA]; 36192 Cassandra Drive, Abbotsford, British Columbia V3G 2M6 (CA). HARTLEY, John, P. [US/US]; 8894 Giles Road, Blaine, WA 98230 (US).

- (74) Agent: CAMERON, Norman, M.; 1401 1166 Alberni Street, Vancouver, British Columbia V6E 3Z3 (CA).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

[Continued on next page]

(54) Title: APPARATUS AND METHOD FOR PRESSURE RELIEF IN AN EXHAUST BRAKE



(57) Abstract: An exhaust brake has a body with a passageway for exhaust A valve member is gases therein. movably located within the passageway for selective movement between an open position where the valve member opens the passageway and exhaust gases are free to move through the passageway and a closed position where the valve member blocks the passageway and the passage of exhaust gases through the passageway. The valve member has an aperture therethrough to permit a limited flow of exhaust gases through the aperture when the aperture is open. An exhaust valve actuator mechanism is coupled to the valve member for moving the valve member between the open position and the closed position. A closure member is positioned adjacent to the aperture. The closure member has an open position where the closure member is spaced apart from the valve member and permits a flow of exhaust gases through the aperture and the closure member having a closed position where the closure member contacts the valve

member about the aperture and inhibits a flow of exhaust gases through the aperture. An actuator member operatively engages the closure member. There is a relief actuator mechanism, the relief actuator mechanism including an actuator member that operatively engages the closure member. The relief mechanism brings the closure member into operative engagement with the valve member with sufficient force, when the valve member is closed, to maintain the closure member in the closed position when the exhaust gases are below a predetermined pressure.

A /050222 A 1

In re Application of:

Lhote et al. : Art Unit: Not yet assigned

Serial No. 10/564,366 : Examiner: Not yet assigned

I.A. Filing Date: 12/06/2004 : Atty Dkt: 6222.480

For: PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

EXHIBIT B

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)						
Applicant/Patent Owner: Jenara Enterprises Ltd.						
Application No./Patent No.: Filed/Issue Date: Filed Herewith						
Emitled: PRESSURE RELIEF EXHAUST BRAKE						
Japana Enterminas I tel						
Jenara Enterprises Ltd. , a corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)						
states that it is: 1. X the assignee of the entire right, title, and interest; or						
an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is%						
in the patent application/patent identified above by virtue of either:						
An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown						
below:						
From: To: The document was recorded in the United States Patent and Trademark Office at						
Reel, Frame, or for which a copy thereof is attached.						
2. From:To:						
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.						
The document was recorded in the United States Patent and Trademark Office at						
Reel, Frame, or for which a copy thereof is attached.						
Additional documents in the chain of title are listed on a supplemental sheet.						
Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]						
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.						
25/07/05						
Signature Date Vincent A. Meneely						
Printed or Typed Name Telephone Number						
Chief Executive Officer						
Title						

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ASSIGNMENT

WHEREAS ANDRE F. LHOTE, VINCENT A. MENEELY, GABRIEL GAVRIL, TAMARA SPENCE, and JOHN P. HARTLEY of, 4992 Byrne Road, Burnaby, British Columbia, Canada, V5J 3H9; 2009 Birch Grove, Burnaby, British Columbia, Canada, V5A 4A3; 36192 Cassandra Drive, Abbotsford, British Columbia, Canada, V3G 2M6; 8894 Giles Road, Blaine, Washington, United States of America, 98230, respectively, hereafter referred to as "Assignors", have invented certain new and useful improvements as described and set forth in the international application for Letters Patent under the Patent Cooperation Treaty, filed the 6th day of December, 2004;

Title of Invention: APPARATUS AND METHOD FOR PRESSURE RELIEF IN AN EXHAUST BRAKE

WHEREAS JENARA ENTERPRISES LTD. of 19594 - 96th Avenue, Surrey, British Columbia, Canada, V4N 4C3, hereinafter referred to as "Assignee", is desirous of acquiring an interest in the said invention and application and in any Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer onto the said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention and all future improvements thereon, the application aforesaid and all International Convention priority rights therewith, and in and to any Letters Patent which may hereafter be granted on the same in all countries throughout the world, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to the said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify,

acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

Assignors hereby authorize Norman M. Cameron to enter herein any details including, but not restricted to, the date of execution of the application for Letters Patent, the date of execution and place of signing of this Assignment and to correct any errors appearing herein.

in 120 the low will lead or, the first named	Assignor has hereunto signed his name at:
this 22 day of <u>December</u> 2004.	
WITNESS 3	Gobern Gour GABRIEL GAVRIL
Address	
IN TESTIMONY WHEREOF, the first named	Assignor has hereunto signed his name at:
this 32 day of Docember 2003.	
WITNESS	TAMARA SPENCE
2032 LORRAINE AVE. COQUITIAM V3K 2MG Address	
IN TESTIMONY WHEREOF, the first named	Assignor has hereunto signed his name at:
this 22 day of December 2003.	
Chango WITNESS	JOHN P. HARTLÉY
3619A Cossandra Dr	
Pobolishord B.C. Address	

In re Application of:

Lhote et al.

Art Unit:

Not yet assigned

Serial No.

10/564,366

Examiner:

Not yet assigned

I.A. Filing Date:

12/06/2004

Atty Dkt:

6222.480

For:

PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

EXHIBIT C

RECEIVED IN THE U.S. PATENT AND TRADEMARK OFFICE

i. . . the National Phase Patent Application of

Lhote, et al.

Serial No.: To be assigned Filed: Concurrently herewith

Title: PRESSURE RELIEF EXHAUST BRAKE

Enclosed:

- 1. Acknowledgment postcard
- 2. Declaration and Power of Attorney
- 3. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)...
- 4. Specification 23 pp.
- 5. Drawings 8 sheets
- 6. Copy of first page of published application 2005/059333 Al

7. Check No. 16389 for \$1,650.00

8. Copy of Written Opinion

Att. Dkt. No. 6222.480

JWB/dmg

January 12, 2006 - Via Courier

JAN 1 2 2006

Stamp

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

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TRANSM	ITTAL LETTER TO	THE UNITED STATES	ATTORNEY'S DOCKET NUMBER	1		
DESIGNATED/ELECTED OFFICE (DO/EO/US)		6222.480				
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)				
INTERNATIONAL A		INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED			
PCT/CA200		1 06 December 2004	16 December 2003			
PRESSURE	RELIEF EXHAU	ST BRAKE				
APPLICANT(S) FOI	DO/EO/US			1		
Andre F. Applicant herewith	Lhote, Vince submits to the United St	nt A. Meneely, Gabri lates Designated/Elected Office (DO/EC	el Gavril, Tamara Spence, D/US) the following items and other information: John P. 1	and		
1. XX This is a F	IRST submission of items c	oncerning a submission under 35 U.S.C 37	John P. 1	Tartley		
2 This is a S	ECOND or SUBSEQUENT	submission of items concerning a submission	n under 35 U.S.C. 371.			
	express request to begin nat 3) and (21) indicated below.	tional examination procedures (35 U S.C. 37	1(f)). The submission must include items			
4. XX The US h	es been elected (Article 31).					
I —		on as filed (35 U S.C. 371(c)(2))				
		d only if not communicated by the Internatio	nal Bureau).			
ь [has been communicated b					
6 An Eng		lication was filed in the United States Receive the International Application as filed (35 U.S.				
a. [is attached hereto.	ne memanana Application as the (33 0.3.	2. 31 ((c)(2)).			
b. 🗀	has been previously subm	nitted under 35 U.S.C. †54(d)(4).				
7 Amenda	nents to the claims of the Ini	ternational Application under PCT Article 19	(35 U.S.C. 371(c)(3))			
·a. 🗀	are attached hereto (requ	ired only if not communicated by the Interna	tional Bureau).			
ь. 🗔	have been communicate	d by the International Bureau				
c	have not been made; how	wever, the time limit for making such amend	ments has NOT expired.			
ه لـ	have not been made and					
·		the amendments to the claims under PCT A	ticle 19 (35 U S.C 371(c)(3))			
	or declaration of the inventorist					
	36 (35 U.S.C. 371(c)(5)).	he annexes of the International Preliminary	examination Report under PC1			
1 —	below concern document	(s) or information included:		! !		
		nt under 37 CFR 1.97 and 1.98.				
		ling. A separate cover sheet in compliance v	rith 37 CFR 3.28 and 3.31 is included.	İ		
	ninary amendment lication Data Sheet under 37	7 CED 1 76				
	itute specification.	CFR 1.76.				
	r of attorney and/or change	of address letter.				
		equence listing in accordance with PCT Rule	13ter 2 and 37 CFR 1.821- 1.825.			
18. A seco						
19. A seco	nd copy of the English langu	age translation of the international application	n under 35 U.S.C. 154(d)(4).			

This collection of information is required by 37 CFR 1 414 and 1 491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application, Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including pathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Page 1 of 3

P10-1399 (Rev. 07-2005)
Approved for use through 3/31/2007 OMB 0651-0021
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a co

US APPLICAT	U.S. APPLICATION NO. (# known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/CA2004/002080			ATTORNEY'S DOCKET NUMBER 6222.480		
20 Other items or information:						
	owing lees have b		•		CALCULATIONS	PTO USE ONLY
21. LXX Basi	c national fee (37	CFR 1.492(a))		. \$300	\$ 300.00	
22. 🔀 Exam	nination fee (37 CF	R 1.492(c))				
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200					\$ 200.00	
If the written opin IPEA/US Search fee (37 C International Sea	indicates all claim FR 1.445(a)(2)) ha onal Searching Au irch Report prepar y communicated to	or the Internationals satisfy provision as been paid on the thority	al preliminary examination rep is of PCT Article 33(1)-(4) e international application to r than the US and provided to	\$0 the USPTO as an \$100	\$ 500.00	
	TOTAL OF 21, 22				\$1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE	A	
- 100 = /50 = x \$250				\$	1	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage. (37 CFR 1.492(h))					\$	
CLAIMS	NUMB	ERFILED	NUMBER EXTRA	RATE	\$	
Total claims	60	· 20 =	46	× \$ 50	2,300.00	
Independent clai	ms ^{ti}	- 3 =		× \$200	\$	
MULTIPLE DEPI	ENDENT CLAIM(S	(it applicable)		+ \$ 360	\$	
				CALCULATIONS =	\$ 3,300.00	
XApplicant cla	sims small entity st	atus. See 37 CFR	1.27. Fees above are reduc	ed by %.	\$1,650.00	
				SUBTOTAL =	\$	
Processing fee of claimed priority of	of \$130.00 for furnitiate (37 CFR 1.49	shing the English (2(i)).	translation later than 30 mont	hs from the earliest	\$	
	·			NATIONAL FEE =	\$ 1,650.00	
Fee for recording by an appropriate	the enclosed ass e cover sheet (37	ignment (37 CFR CFR 3.28, 3 31), \$	1.21(h)). The assignment mu 340.00 per property	st be accompanied	s	
			TOTAL F	EES ENCLOSED =	\$1,650.00	
					Amount to be refunded:	s
L		- <u>-</u>			Amount to be charged	\$

ĺ		
a.XX.	A check in the amount of \$ 1,650.00 to cover the above	e fees is enclosed
ъ. 🗀	Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.	to cover the above fees.
c XX	The Commissioner is hereby authorized to charge any additional fees whi Account N $ 50-0548 $. A duplicate copy of this sheet is enclosed.	ch may be required, or credit any overpayment to Deposit
d. 🗌	Fees are to be charged to a credit card. WARNING: Information on this to be included on this form. Provide credit card information and authorization	orm may become public. Credit card information should not on on PTO-2038.
NOTE: V	Nhere an appropriate time limit under 37 CFR 1.495 has not been met, nted to restore the International Application to pending status.	a petition to revive (37 CFR 1.137(a) or (b)) must be filed
SEND A	Joseph W. Berenato, III Berenato, White & Stavish, LLC 6550 Rock Spring Drive, Ste. 240 Bethesda, Maryland 20817 Phone: 301-896-0600 Fax: 301-896-0607 E-mail: jberenato@bwsiplaw.com	SIGNATURE JOSEPH W. Berenato, III NAME 30,546 REGISTRATION NUMBER

PTO/SB/01 (09-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Attorney Docket 1001P19US **DECLARATION FOR UTILITY OR** Number First Named Inventor DESIGN LHOTE, Andre F. PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) Application Number Declaration Filing Date Declaration Х Submitted OR Submitted after Initial Art Unit With Initial Filing (surcharge Filing (37 CFR 1.18 (e)) required) **Examiner Name** I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: PRESSURE RELIEF EXHAUST BRAKE (Title of the Invention) the specification of which is attached hereto OR X 12/06/2004 was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number PCT/CA2004/002080 and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed **Prior Foreign Application** Foreign Filing Date **Priority** Certified Copy Attached? Country Number(s) (MM/DD/YYYY) Not Claimed 2.453.593 Canada 12/16/2003 Х

[Page 1 of 2]

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.53. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/01 (09-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

correspondence to: Ass	e address sociated with storner Number	· ·	410	OF	' O	Correspondence address below	
Name							
Address							
City			State ZIP				
Country		Telephone	Fax				
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST IN		A pe	etition has	been filed for t	his unsigr	ned inventor	
Given Name (first and middle (if	any]) Andre			Family Name or Surname LHOTE			
Inventor's Signature Date							
Residence: City Burnaby	State Britis	h Columbia	Country Citizer Canada			nship Canadian	
Mailing Address 4992 Byrne Road							
City State British Columbia			Ziţ	Zip V5J 3H9		Country Canada	
NAME OF SECOND INVENTO	R:		A	petition has b	een filed t	for this unsigned inventor	
Given Name (first and middle [if any]) Vincent A.				Family Name or Surname MENEELY			
Inventor's Signature	luce				Date		
Residence: City Surrey	State British	Country Canada		anada	Citizenship Canadian		
Mailing Address 19596 96th Avenue							
City Surrey	State British	Columbia	Zip C V4N 4C3		Count	Country Canada	
X Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.							

PTO/SB/02A (08-03) Approved for use through 08/31/2003. OMB 0851-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.						
DECLARATION	ADDITIONAL Supplemental S	Page	1 1			
Name of Additional Joint Inventor, if any:		A petition	has been filed for this	unsigned im	ventor	
Given Name (first and middle (if any)		Family Name or	Surname	· · · · · · · · · · · · · · · · · · ·		
Gabriel		GAVRIL				
Inventor's Gabriel Gu	-,			Date		
Residence: City Burnaby		ritish Columbia Cou	_{untry} Canada	Citizenship	Canadian	
Mailing Address 2009 Birch Grove						
Mailing Address						
City Burnaby	State	British Columbia	Zip V5A 4A3	Country	Canada	
Name of Additional Joint Inventor, if any:		A petition	has been filed for this	unsigned in	ventor .	
Given Name (first and middle (if any)		Family Name or Surname				
Tamara			SPENCE			
Inventor's Spence			ust 9/05	5		
Residence: City Abbotsford	State	British Columbia	Country Canada Citizenship			
Mailing Address 36192 Cassandra Drive						
Mailing Address						
City Abbotsford	State	British Columbia	Zip V3G 2M6	Country	Canada	
Name of Additional Joint Inventor, if any:		A petition !	has been filed for this	unsigned inv	rentor	
Given Name (first and middle (if any)		Family Name or Surname				
John . HARTLEY						
Inventor's Signature ATIN MA		Date August 16/05				
Residence: City Blaine	State	WA	Country USA		CitizenshipUSA	
Mailing Address 8894 Giles Road						
Mailing Address					· · · · · · · · · · · · · · · · · · ·	
City Blaine	State	WA	Zin 98230	Country	USA	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to fite (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In re Application of:

Lhote et al.

Art Unit:

Not yet assigned

Serial No.

10/564,366

Examiner:

Not yet assigned

I.A. Filing Date:

12/06/2004

Atty Dkt:

6222.480

For:

PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

EXHIBIT D

BERENATO, WHITE & STAVISH

JOSEPH W. BERENATO, III *
JOHN M. WHITE P
MATTHEW W. STAVISH
MATTHEW F. JOHNSTON
WILLIAM C. SCHROT *
DAVID S. TAYLOR

GEORGE AYVAZOV, PH.D. REG. PATENT AGENT

ATTORNEYS AT LAW

A LIMITED LIABILITY COMPANY
6550 ROCK SPRING DRIVE
SUITE 240
BETHESDA, MARYLAND 20817
TELEPHONE: (301) 896-0600
FACSIMILE: (301) 896-0607

WWW.BWSIPLAW.COM

VIRGINIA OFFICE
P. O. Box 315
WATERFORD, VA 20197

OF COUNSEL OF NOT ADMITTED IN MD

May 30, 2006

Via Federal Express

Mr. Andre F. Lhote 2992 Byrne Road Burnaby, British Columbia V5J 3H9 Canada

Re:

International Patent Application No. PCT/CA04/02080

(U.S. Application Serial No. 10/564,366)

Title: Pressure Relief Exhaust Brake

Our Ref: 6222.480

Dear Mr. Lhote:

Enclosed is a copy of the above-referenced patent application, which entered the national phase before the United States Patent & Trademark Office on January 12, 2006.

Also enclosed is a copy of a Declaration for your signature as co-inventor. Please sign and date the Declaration, and return the executed Declaration to our office in the postage paid, addressed envelop provided.

Should you have any questions regarding the above, please do not hesitate to contact our office.

Very truly yours,

William C. Schrot

Enclosures

In re Application of:

Lhote et al.

Art Unit:

Not yet assigned

Serial No.

10/564,366

Examiner:

Not yet assigned

I.A. Filing Date:

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Atty Dkt:

6222.480

For:

PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

EXHIBIT E

BERENATO, WHITE & STAVISH

ATTORNEYS AT LAW

A LIMITED LIABILITY COMPANY 6550 ROCK SPRING DRIVE SUITE 240 BETHESDA, MARYLAND 20817

TELEPHONE: (301) 896-0600 FACSIMILE: (301) 896-0607

WWW.BWSIPLAW.COM

VIRGINIA OFFICE
P. O. Box 315
WATERFORD, VA 20197

OF COUNSEL NOT ADMITTED IN MD

June 21, 2006

Via Federal Express

JOSEPH W. BERENATO, III .

JOHN M. WHITE "

DAVID S. TAYLOR

REG. PATENT AGENT

MATTHEW W. STAVISH

WILLIAM C. SCHROT*

MATTHEW F. JOHNSTON

GEORGE AYVAZOV, PH.D.

Mr. Andre F. Lhote 2992 Byrne Road Burnaby, British Columbia V5J 3H9 Canada

Re:

International Patent Application No. PCT/CA04/02080

(U.S. Application Serial No. 10/564,366)

Title: Pressure Relief Exhaust Brake

Our Ref: 6222.480

Dear Mr. Lhote:

A copy of the above-referenced patent application was sent to you via Federal Express by letter dated May 30, 2006, along with a copy of a Declaration for your signature as co-inventor.

We have not yet received the executed Declaration. If not yet sent, please sign and date the Declaration, and return the executed Declaration to our office.

For your convenience, enclosed is another copy of the subject patent application, along with another copy of the Declaration. Also enclosed is a postage paid, addressed envelop in which the executed Declaration may be sent.

Should you have any questions regarding the above, please do not hesitate to contact our office.

Very truly yours,

William C. Schrot

Enclosures

In re Application of:

Lhote et al.

Art Unit:

Not yet assigned

Serial No.

10/564,366

Examiner:

Not yet assigned

I.A. Filing Date:

12/06/2004

Atty Dkt:

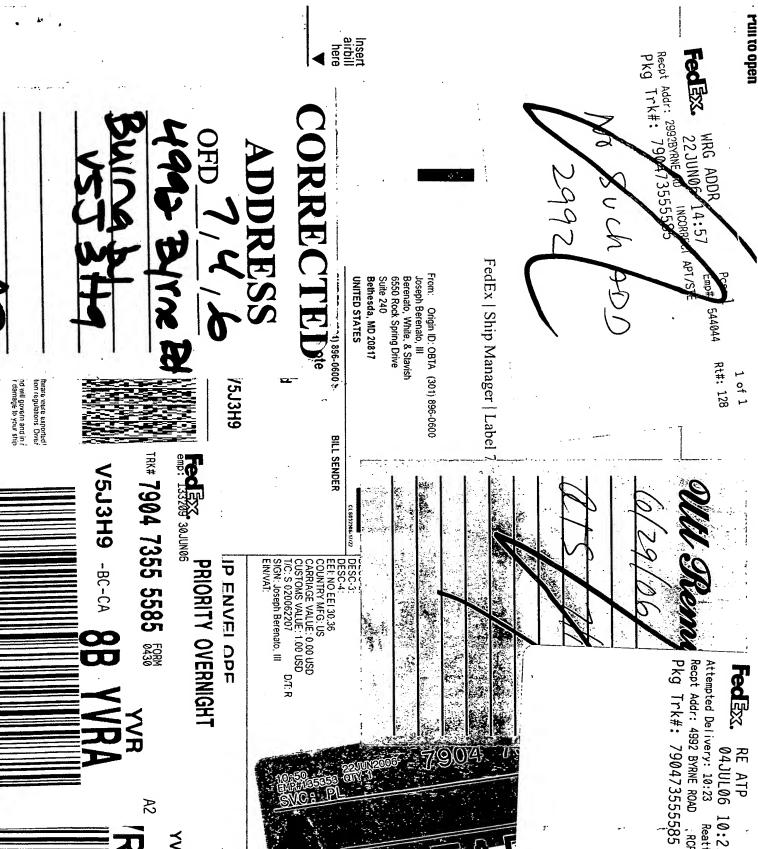
6222.480

For:

PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

EXHIBIT F



INITIALS

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Reattempt Delivery: 17:00 on 05JUL Rt#: 124 1 of 1

In re Application of:

Lhote et al.

Art Unit:

Not yet assigned

Serial No.

10/564,366

Examiner:

Not yet assigned

I.A. Filing Date:

12/06/2004

Atty Dkt:

6222.480

For:

PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

EXHIBIT G

BERENATO, WHITE & STAVISH

ATTORNEYS AT LAW

A LIMITED LIABILITY COMPANY 6550 ROCK SPRING DRIVE SUITE 240

BETHESDA, MARYLAND 20817 TELEPHONE: (301) 896-0600

FACSIMILE: (301) 896-0607 WWW.BWSIPLAW.COM VIRGINIA OFFICE
P. O. Box 315
WATERFORD, VA 20197

OF COUNSEL

GEORGE AYVAZOV, PH.D. REG. PATENT AGENT

JOSEPH W. BERENATO, III .

JOHN M. WHITE "

DAVID S. TAYLOR

MATTHEW W. STAVISH

WILLIAM C. SCHROT *

MATTHEW F. JOHNSTON

July 5, 2006

Via Federal Express

Mr. Andre F. Lhote 4992 Byrne Road Burnaby, British Columbia V5J 3H9 Canada

Re:

International Patent Application No. PCT/CA04/02080

(U.S. Application Serial No. 10/564,366)

Title: Pressure Relief Exhaust Brake

Our Ref: 6222.480

Dear Mr. Lhote:

A copy of the above-referenced patent application was sent to you via Federal Express by letters dated May 30, 2006 and June 21, 2006, along with copies of a Declaration for your signature as co-inventor.

We have not yet received the executed Declaration. If not yet sent, please sign and date the Declaration, and return the executed Declaration to our office.

For your convenience, enclosed is another copy of the subject patent application, along with another copy of the Declaration. Also enclosed is a postage paid, addressed envelop in which the executed Declaration may be sent.

Should you have any questions regarding the above, please do not hesitate to contact our office.

Very truly yours,

William C Schrof

Enclosures

In re Application of:

Lhote et al.

Art Unit:

Not yet assigned

Serial No.

10/564,366

Examiner:

Not yet assigned

I.A. Filing Date:

12/06/2004

Atty Dkt:

6222.480

For:

PRESSURE RELIEF EXHAUST BRAKE

DECLARATION OF WILLIAM C. SCHROT IN SUPPORT OF PETITION FOR NON-SIGNING INVENTOR

EXHIBIT H

William Schrot

From:

Joe Berenato

Sent:

Wednesday, July 05, 2006 4:55 PM

To:

'Ryan Marander'

Cc:

William Schrot

Subject: RE: PCT/CA04/02080

Ryan: Thanks,

Joe

From: Ryan Marander [mailto:rmarander@pacbrake.com]

Sent: Wednesday, July 05, 2006 4:50 PM

To: Joe Berenato

Subject: RE: PCT/CA04/02080

Attention Joe Berenato,

We have now had a response from Andre Lhote regarding a copy of a declaration for his signature as co-inventor for Pacbrake's Pressure Relief Brake US patent application. This is your reference number 6222.480.

Andre returned the express package you originally sent to him on May 30, 2006 and gave it to Cathy Hailey, Pacbrake's purchasing manager. When Andre Lhote handed the package to Cathy his words were," I can't sign this".

Please keep this in your records. I will forward on the unsigned express package to your office.

Thank you,

Sincerely,

Ryan Marander Project Manager Pacbrake

No virus found in this incoming message.

Checked by AVG Free Edition.

Version: 7.1.394 / Virus Database: 268.8.4/364 - Release Date: 6/14/2006

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Version: 7.1.394 / Virus Database: 268.9.9/382 - Release Date: 7/4/2006

ANDRE CAME BY; SAID: HE

RENATO, WHITE & STAVISH

JOSEPH THIS.
MATTHE

MATTHEW I. JURNSTON WILLIAM C. SCHROT* DAVID S. TAYLOR

GEORGE AYVAZOV, PH.D. REG. PATENT AGENT

ATTORNEYS AT LAW
A LIMITED LIABILITY COMPANY
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WWW.BWSIPLAW.COM

VIRGINIA OFFICE
P. O. BOX 315
WATERFORD, VA 20197

OF COUNSEL
NOT ADMITTED IN MD

June 21, 2006

Via Federal Express

Mr. Andre F. Lhote 2992 Byrne Road Burnaby, British Columbia V5J 3H9 Canada

Re:

International Patent Application No. PCT/CA04/02080

(U.S. Application Serial No. 10/564,366)

Title: Pressure Relief Exhaust Brake

Our Ref: 6222.480

Dear Mr. Lhote:

A copy of the above-referenced patent application was sent to you via Federal Express by letter dated May 30, 2006, along with a copy of a Declaration for your signature as co-inventor.

We have not yet received the executed Declaration. If not yet sent, please sign and date the Declaration, and return the executed Declaration to our office.

For your convenience, enclosed is another copy of the subject patent application, along with another copy of the Declaration. Also enclosed is a postage paid, addressed envelop in which the executed Declaration may be sent.

Should you have any questions regarding the above, please do not hesitate to contact our office.

Very truly yours,

William C. Schrot

Enclosures